



State Water Resources Control Board Drinking Water Funding and Technical Assistance

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Presentation Topics

- Drinking Water Funding
 - Drinking Water State Revolving Fund (DWSRF) and Proposition 1 (Prop 1)
 - Prop 1 Groundwater Grant Program
 - Cleanup and Abatement Account (CAA)
- Technical Assistance (TA)
- Links and Resources

Funding for Public Water Systems - DWSRF/Prop 1

- Examples of eligible project types:
 - Consolidation
 - Groundwater wells
 - Treatment systems
 - Water meters
 - Replacement of aged water transmission or distribution mains, groundwater wells, or other infrastructure

DWSRF/Prop 1

- Single application for both funding sources
- Administered consistent with:
 - DWSRF Policy:
 - http://www.waterboards.ca.gov/drinking_water/services/funding/documents/srf/dwsrf_policy/dwsrf_policy_final.pdf
 - DWSRF Intended Use Plan (IUP):
 - http://www.swrcb.ca.gov/drinking_water/services/funding/DWSRFIUP.shtml#Current

State Fiscal Year (SFY) 2016/17 DWSRF IUP

- DWSRF IUP is updated annually – includes guidelines for administering \$260 million available from Prop 1
- Draft for SFY 2016/17 posted for public comment:
 - http://www.swrcb.ca.gov/public_notices/comments/index.shtml
- Public comments due to Board Clerk by noon 6/16/16
- Due for State Water Board consideration 6/21/16

*** Some of the information outlined today is pending Board approval of the IUP, and subject to change ***

Loan Terms

- Interest Rates
 - 2016 Interest Rate is 1.6%
 - Reduced interest rates possible for small DACs
- Repayment Terms
 - Planning
 - Five-year term
 - Can rollover into construction agreement
 - Construction
 - Standard financing term of 20 years
 - Possibility to extend to 30 years, for some
 - Planning costs eligible for retroactive reimbursement

Principal Forgiveness (PF)/Grants

- Small Community Water Systems (SCWS), and limited Non-Transient Non-Community Systems, Serving Disadvantaged Communities (DAC)
 - Planning
 - 100% PF/grant up to max of \$500,000
 - Requirement for feasibility analysis and evaluation of consolidation before other feasibility, planning, or design activities can commence
 - Construction
 - For small severely DAC, OR small DAC with rates $>1.5\%$ of Median Household Income
 - 100% PF/grant up to maximum \$5 million
 - \$30,000 max per connection

Applying for DWSRF/Prop 1

- No pre-application or invitation process
- Continuously accepting applications
- Application Instructions and Forms:
http://www.waterboards.ca.gov/drinking_water/services/funding/SRFForms.shtml
- Apply online via Financial Assistance Application Submittal Tool (FAAST):
<https://faast.waterboards.ca.gov>

Continuously Accepting Applications!



Username:

Password :

[LOG ONTO FAAST](#)

Note: The username and password are case-sensitive.

[RETRIEVE PASSWORD](#)

[CREATE ACCOUNT](#)

[PUBLIC SEARCH TOOL](#)

[HOW-TO](#)

WELCOME TO FAAST

The Financial Assistance Application Submittal Tool (FAAST) allows potential funding recipients to apply for grant and loan funding offered by various State and Federal agencies.

The State Water Resources Control Board's Division of Financial Assistance (Division) is responsible for administering the FAAST system. The Division administers financial assistance programs for planning, design, and construction of municipal sewage and water recycling facilities, drinking water facilities, stormwater treatment facilities, and point source pollution control projects.

DWSRF/Prop 1 Funding Process

- System submits application in FAAST
 - Select appropriate Request for Proposals:
 - DWSRF Planning
 - DWSRF Construction
 - General Package is minimum requirement to submit
- Senior assigns Project Manager (PM)
- PM schedules kick-off meeting
 - System
 - DDW/LPA
 - SRF Environmental Review Staff
 - SRF Financial Review Staff

DWSRF/Prop 1 Funding Process

- With guidance from PM, applicant submits additional attachments to complete 4 packages:
 - General Information
 - Technical Application
 - Environmental
 - Financial Security
- Execute funding agreement after DFA approves all 4 packages
- For construction, Final Budget Approval to amend to final costs after bids
- Repayment starts 1 year after completion

Prop 1 Groundwater

- Pre-Application (in FFAST)
 - Allows groundwater grant program staff to review project and provide additional guidance on funding eligibility prior to full application
- Project types:
 - Wellhead treatment, extraction and treatment, source removal, etc.
 - Can also be used for DAC drinking water treatment projects to address groundwater contamination
- Groundwater Grant Program Website:
http://www.waterboards.ca.gov/water_issues/programs/grants_loans/gw_funding/

Prop 1 Groundwater for DAC Drinking Water Treatment Projects

- Follow DWSRF application process
 - If already working on DWSRF application, don't have to submit Groundwater Pre-Application
 - Any Prop 1 groundwater funds will be incorporated into the DWSRF funding approval process
- Up to \$5 million grant per project for any size DAC

CAA - Interim Emergency Drinking Water

- \$19 million for interim emergency drinking water
 - \$4 million - contaminated water supply (DACs only)
 - \$15 million - drought-related drinking water emergencies or threatened emergencies
- Encumber funding (into agreements) by June 2016
- Funding has to be spent by June 2018
- Proposed SFY 2016/17 budget includes funding for drought-related emergencies

CAA- Interim Emergency Drinking Water

- Eligible Entities
 - Public Agencies
 - *Not-for-Profit Organizations*
 - *Tribal Governments*
 - *Community Water Systems*
- Eligible Project Examples
 - Bottled, Hauled Water
 - Well Repair/Rehabilitation, Well Replacement
 - Emergency Interties, Consolidation
 - Vending Machines
 - Treatment Systems

*servicing
a DAC*

CAA Contacts

General Information Line: (916) 319-9066

Email: DFA-CAA-DW-DroughtFund@waterboards.ca.gov

Mr. Daman Badyal, Senior Engineer

Email: damanvir.badyal@waterboards.ca.gov

Phone: (916) 319-9436

Website: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/caa/dw_droughtfund/

Technical Assistance- Existing Capacity Development Contracts

- Approximately \$3 million per year for TA and Training
 - Free online and classroom trainings:
 - <http://www.events.rcac.org/rcac/Calendar.asp>
 - Help with:
 - Funding applications
 - Board and operator training
 - Technical, Managerial, and Financial Assessments
 - Income Surveys
 - Developing budgets, rate increases
 - TA and Capacity Development Forms and Instructions:
 - http://www.waterboards.ca.gov/drinking_water/tacd/index.shtml
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Prop 1 Technical Assistance

- Up to \$74 million to help small DACs successfully implement drinking water and wastewater projects
- Expanded scope of TA available
 - Project Coordination and Development
 - Engineering
 - Environmental Documents
 - Legal Services
 - Leak Detection/Water Audits

Prop 1 Technical Assistance

- OSWS staff working on solicitation for providers
- Should begin executing agreements with providers summer 2016
- In the meantime, developing a list of TA needs
- New Universal TA Request Form for all new TA requests (don't need to resubmit the new request form for systems already in the queue for TA)
- OSWS staff review new requests and determine whether to assign to existing Capacity Development contracts or to Prop 1 TA

Requesting Technical Assistance

- Assistance Requests Accepted on a Continuous Basis
- Who Can Request TA? Anyone!
 - Water Systems
 - Communities
 - Public Agencies
 - Not-For-Profit Organizations
 - Individuals on behalf of those in need
- How to Apply?
 - **Universal Form Available Online**
 - http://www.waterboards.ca.gov/water_issues/programs/grants_loans/proposition1/docs/ta_request_form.pdf
 - Email form to DFA-TARequest@waterboards.ca.gov

Universal TA Request Form

www.waterboards.ca.gov/water_issues/programs/grants_loans/proposition1/docs/ta_request_form.pdf

*Instructions: If an item is not relevant or unknown enter "not applicable" or "unknown."
Please e-mail the completed form to: DFA-TArequest@waterboards.ca.gov
An electronic copy of this form can be obtained
at: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/proposition1/tech_asst_funding.shtml*

Community or System Name:

Public Water System ID No. (if applicable): Service Area Population:

County: Number of Service Connections:

Estimated Median Household Income (MHI) of Service Area: MHI Source:

Check the box that best describes the type of organization in need of TA: Tribe Municipal entity
 Mutual Water Company Private entity, nonprofit Private entity, for profit Other

Type of TA Need: Drinking Water Wastewater Storm Water Groundwater

Problem/Request. Briefly summarize the problem or the need for technical assistance. If enforcement has been taken, please provide a copy of enforcement documentation or enforcement order number. Provide any deadlines or time schedules (if applicable):

Possible Next Steps or Solutions (if known):

Please provide a contact for correspondence regarding this request:

<input type="text"/>	<input type="text"/>
Name	Title
<input type="text"/>	<input type="text"/>
Mailing Address	City/State
<input type="text"/>	Zip Code
<input type="text"/>	<input type="text"/>
Phone Number	E-mail Address

Common Co-funders

- United States Department of Agriculture – Rural Development – Water and Waste Disposal Program
 - <http://www.rd.usda.gov/programs-services/water-waste-disposal-loan-grant-program>
- Department of Water Resources - Integrated Regional Water Management (IRWM)
 - <http://www.water.ca.gov/irwm/grants/propiindex.cfm>
- Housing and Community Development – Community Development (CDBG) Block Grant Program:
 - <http://www.hcd.ca.gov/financial-assistance/community-development-block-grant-program/>

California Financing Coordinating Committee (CFCC)

- Seven funding agencies, state and federal
- Free funding fairs at several locations throughout the state each year:
 - Morning: presentations from funders
 - Afterward: 1-on-1 discussion about specific projects
- Fairs coming up this summer/fall in Palm Desert, Redding, Alhambra, Fresno
- Inquiry form on the CFCC website to help systems identify additional funding sources:

<http://www.cfcc.ca.gov/>

STAY INFORMED

SIGN UP TO RECEIVE PROGRAM UPDATES VIA EMAIL

- Email subscription form can be accessed at:
http://www.waterboards.ca.gov/resources/email_subscriptions/swrcb_subscribe.shtml
- Available for many programs, including:
 - Drinking Water State Revolving Fund
 - Groundwater Quality Funding Assistance